

## Customer Directed Transactions

<b>Customer Number</b>		<b>Date (dd-mmm-yy)</b>	
<b>Account Name</b>			
<b>Product Name and ISIN (if applicable)</b>			
<b>Currency and Amount of Investment</b>			

I confirm that I have instructed **The Hongkong and Shanghai Banking Corporation Limited-Philippine Branch (HSBC)** to undertake the following transaction(s) on my behalf for the above named investment:

**Please tick the appropriate box:**

- a. For products not matching established risk appetite, tenor or investment objectives :** I am aware that investment product(s) detailed above does/do not correspond with my indicative risk rating and/or investment objectives which have been established by using information provided to HSBC.
- b. For products purchased where no risk appetite has been established by the completion of a Risk Profile Questionnaire (RPQ) :** I understand that my specific risk attitude for this/these transactions has/have not been established by the completion of a RPQ. I am aware that as a result, the investment(s) detailed above may not correspond with my risk appetite and/or investment objectives.
- c. For products purchased which have not been suggested by HSBC :** I confirm that I have requested HSBC to arrange on my behalf the investment(s) detailed above. I further confirm that I am fully aware that they may not correspond to the investment(s) suggested by HSBC which (was/were) based on the personal information I have supplied.

In addition to the above, \* I confirm that HSBC has not provided any investment advice on these product(s) and that I further confirm that any transaction I have decided to make is being made at my own volition and at my own risk. I acknowledge and understand that the arrangement of any transaction by HSBC on my behalf is not in any way a confirmation or an endorsement from HSBC that it is suitable for me. I further understand that HSBC will not be held responsible for any consequences arising out of the decision not to follow its recommendation(s) nor for any consequences arising from my own decision to effect the investment(s) detailed above.

\* Additional declaration for customers aged over 65: As such, I understand that if the money being invested in the investment product(s) is for retirement purpose, the volatility / price movement involved may be greater than any other product with a lower risk level, and this may have impact on my retirement plan. In addition, I also understand once the price or value of the investment drops, I may need to prolong my investment horizon in order to attain my expected return from this investment.

\_\_\_\_\_ **Customer Signature over Printed Name**



**To Be Completed by the Other Joint Accountholders:**

I/We confirm that I/we have agreed to appoint the above named co-jointaccountholder to complete the Execution Only Declaration form and conform to the terms stipulated herein.

\_\_\_\_\_ **Customer Signature over Printed Name**



\_\_\_\_\_ **Customer Signature over Printed Name**



\_\_\_\_\_ **Customer Signature over Printed Name**



\_\_\_\_\_ **Customer Signature over Printed Name**



**FOR BANK USE ONLY:**

I confirm that a copy of this form has been handed over to the customer.

<b>Name of Sales Staff</b>	<b>Signature of Sales Staff</b>	<b>Branch</b>	<b>Date (dd-mmm-yy)</b>
<b>For referrals, NSC-ISS to complete cells to the right --&gt;</b>	<b>Name and Signature of NSC-ISS Representative:</b>	<b>Name of Referrer:</b>	<b>Date (dd-mmm-yy)</b>