

# CORPORATE/COMMERCIAL BANKING ACCOUNT OPENING FORM

HSBC Savings Bank (Philippines) Inc.

## CUSTOMER INFORMATION SHEET

Branch:

### For Bank Use Only

Customer Name	Bank Authorised Signature and Stamp
International Customer Number	
Account Number	

**Notes:**

- Please complete in **Block Letters** and tick where applicable.
- Please indicate N/A when appropriate.
- For partnership, please submit an Authorisation Letter signed by all partners.
- Please note that no chop needs to be or should be affixed to any part of this form, and even if so affixed, will not form part of the signing authority or mandate under any circumstances.
- All information must be filled out completely as required by R.A. 9160, as amended by R.A. 9194 or the Anti-Money Laundering Act of 2001 and by BSP Circular No. 706 or the updated Anti-Money Laundering Rules and Regulations.

Registered Name	
Tax Identification Number (TIN)	SEC Registration/Business Registration No.
<i>Type of Account Required, please tick where appropriate.</i> <input type="radio"/> Statement Savings Account <input type="radio"/> PHP <input type="radio"/> USD <input type="radio"/> Current Account <input type="radio"/> PHP <input type="radio"/> USD <input type="radio"/> Others (Please specify) _____	
For Bank Use Only – Business Banking Package Section	
Package Type _____	Maintaining Balance Requirement _____
Fees _____	Terms _____

Principal Business Address (please specify contact person)	Office Telephone Number
_____	1. _____
_____	2. _____
City/Municipality _____	
Country _____ Zip _____	

Mailing Address, if different from Business Address (please specify contact person)	Office Fax Number
_____	1. _____
_____	2. _____
City/Municipality _____	
Country _____ Zip _____	

Details of Contact Person(s)				
Mr/Mrs/Ms	First Name, Last Name	Mobile Number	Job Title	Business E-mail Address
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

Initial Checkbook/Checkpad Order (this will be processed once account has been adequately funded)

1. Please supply me/us with _____ Quantity	2. Name to be printed on checkbook(s) _____
<input type="radio"/> PHP Temporary Checkbook(s) _____ (20 leaves/checkbook)	3. Collected at _____ branch
<input type="radio"/> Regular Checkbook(s) _____ (100 leaves/checkbook)	Others _____
<input type="radio"/> Checkpad(s)* _____ (100 leaves/checkpad)	

\*QuickCheck software is free.  
For re-orders, please use the Re-Order Form found in your checkbook/pad, or, if you are re-ordering via a letter, please ensure to clearly specify if you are ordering Checkbook or Checkpad.

# CORPORATE/COMMERCIAL BANKING ACCOUNT OPENING FORM

## KYC SHEET

**Notes:**

- All fields are mandatory and must be filled out completely as required by R.A. 9160, as amended by R.A. 9194 or the Anti-Money Laundering Act of 2001 and by BSP Circular No. 706 or the Updated Anti-Money Laundering Rules and Regulations.
- For Treasurer in Trust For (TITF) Customer, please fill out Section A only.

Date	day/month/year

<b>Section A</b>		
Company Type <input type="radio"/> Corporation <input type="radio"/> Partnership <input type="radio"/> Sole Proprietorship <input type="radio"/> Others _____		
Nature of Business/Industry Industry _____ (___%)                      Industry _____ (___%)                      Industry _____ (___%) Nature of Product/Services Offered _____		
Purpose and Reason for Opening an Account or Establishing a Business Relationship		
Country of Registration and Head Office location, if different	Name of Parent Company (if any)	
Geographic Location(s)	Countries where major business is carried out	
Expected Source of Funds in Account <input type="radio"/> Customers/Buyers <input type="radio"/> Associated Companies <input type="radio"/> Others _____	Country of Fund Origin	
Expected Account Turnover per Annum and Number of Transactions Currency: _____                      Amount: _____                      No. of Transactions: _____		

<b>Section B</b>		
Date of Establishment _____ Day/Month/Year	Date Business Commenced _____ Day/Month/Year	
Number of Staff Local _____ In Other Areas _____	Annual Sales/Revenue	Years of Experience in Business
Major Types of Transactions and Percentages of Usage <input type="radio"/> Remittance (___%) <input type="radio"/> Check (___%) <input type="radio"/> Cash (___%) <input type="radio"/> Others (___%)		
Reason(s) for setting up account in the Philippines FOR NON-RESIDENT ACCOUNTS ONLY		

<p><b>Bearer Shares</b></p> <p>Bearer shares represent ownership in a company by whoever holds the physical stock certificate. Does your company have a proportion of capital in the form of bearer shares?</p> <p><input type="checkbox"/> Yes    <input type="checkbox"/> No</p> <p>If yes,</p> <ol style="list-style-type: none"> <li>Please specify the percentage of capital in bearer shares. _____%</li> <li>Furthermore, the shares should be immobilised by holding the bearer shares in HSBC custody OR a declaration from each beneficial owner (i.e. who holds 5% or more of the total shares) on the percentage of shareholding should be submitted to the Bank. Such owners should also provide a further declaration on an annual basis and notify HSBC immediately if the shares are sold, assigned or transferred.</li> </ol>
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<b>Accounts with Other Banks (if any)</b>	
Bank Name _____	Account Number _____
Branch Name and Address _____	
Bank Name _____	Account Number _____
Branch Name and Address _____	
Bank Name _____	Account Number _____
Branch Name and Address _____	

**Beneficial Owners**

A beneficial Owner is an individual who:

- (a) owns or controls, directly or indirectly, including through a trust or bearer share holding, not less than 10% of the issued share capital of the corporation;
- (b) is, directly or indirectly, entitled to exercise or control the exercise of not less than 10% of the voting rights at general meetings of the corporation; or
- (c) exercises ultimate control over the management of the corporation;

*\* if the corporation is acting on behalf of another person, the beneficial owner is the natural person.*

Are the shares in your company beneficially owned by persons other than the registered owner?

Yes       No

If yes,

1. Please state the name(s) of all beneficial owner(s) in the space(s) below. Please use another sheet if necessary.
2. The Company is required to submit copies of valid identification which are authenticated by the Corporate Secretary for those owning at least 10% of Outstanding Capital Stock.

<p>Full Name _____</p> <p>Identification Type &amp; Number _____</p> <p>Present Residential Address _____</p> <p>_____</p> <p>_____</p> <p>Other Address _____</p> <p>_____</p> <p>_____</p> <p>Date of Birth (dd-mm-yyyy) _____ Place of Birth _____</p> <p>Occupation _____ Nationality _____</p> <p>Source of Personal Funds _____ Ownership % _____</p>	<p>Full Name _____</p> <p>Identification Type &amp; Number _____</p> <p>Present Residential Address _____</p> <p>_____</p> <p>_____</p> <p>Other Address _____</p> <p>_____</p> <p>_____</p> <p>Date of Birth (dd-mm-yyyy) _____ Place of Birth _____</p> <p>Occupation _____ Nationality _____</p> <p>Source of Personal Funds _____ Ownership % _____</p>
<p>Full Name _____</p> <p>Identification Type &amp; Number _____</p> <p>Present Residential Address _____</p> <p>_____</p> <p>_____</p> <p>Other Address _____</p> <p>_____</p> <p>_____</p> <p>Date of Birth (dd-mm-yyyy) _____ Place of Birth _____</p> <p>Occupation _____ Nationality _____</p> <p>Source of Personal Funds _____ Ownership % _____</p>	<p>Full Name _____</p> <p>Identification Type &amp; Number _____</p> <p>Present Residential Address _____</p> <p>_____</p> <p>_____</p> <p>Other Address _____</p> <p>_____</p> <p>_____</p> <p>Date of Birth (dd-mm-yyyy) _____ Place of Birth _____</p> <p>Occupation _____ Nationality _____</p> <p>Source of Personal Funds _____ Ownership % _____</p>
<p>Full Name _____</p> <p>Identification Type &amp; Number _____</p> <p>Present Residential Address _____</p> <p>_____</p> <p>_____</p> <p>Other Address _____</p> <p>_____</p> <p>_____</p> <p>Date of Birth (dd-mm-yyyy) _____ Place of Birth _____</p> <p>Occupation _____ Nationality _____</p> <p>Source of Personal Funds _____ Ownership % _____</p>	<p>Full Name _____</p> <p>Identification Type &amp; Number _____</p> <p>Present Residential Address _____</p> <p>_____</p> <p>_____</p> <p>Other Address _____</p> <p>_____</p> <p>_____</p> <p>Date of Birth (dd-mm-yyyy) _____ Place of Birth _____</p> <p>Occupation _____ Nationality _____</p> <p>Source of Personal Funds _____ Ownership % _____</p>

**Declaration**

1. I/We confirm that the information given in the Corporate / Commercial Banking Account Opening Form, including any annexes and supporting documents that are attached hereto, is correct and complete, and hereby authorise HSBC Savings Bank (Philippines) Inc. (the "Bank") to confirm this from any source the Bank may choose.

I/We agree to inform the Bank of any change in the information provided, and further agree to cooperate with the Bank in each conduct of customer updating activities, including those required under applicable law and regulations.

2. We acknowledge that the use of our accounts and/or any products and services offered by the Bank and the opening and/or use of additional accounts, products and services are governed by and subject to the specific Terms and Conditions set by the Bank for such accounts, products and services and "An Easy Guide to Bank Services and Charges" (Easy Guide), copies of which we have read, understood and agree to be bound.

3. If I invest my funds in a Structured Investment Deposit (SID), I hereby agree to be governed, and to abide, by the terms and conditions for Structured Investment Deposits which I acknowledge to have read and understood.

4. For Business Banking clients, I/we hereby accept the Business Banking Package Type, Terms and Fees as indicated in the Business Banking Package Section of this form and authorise the bank to debit my account/s for the fees indicated therein.

5. For Sole Proprietorships – this document shall be signed by the Sole Proprietor  
For Partnerships – signed by persons authorised as per the company's existing Partnership Resolution  
For Companies – signed by persons authorised as per the company's existing Mandate

6. I/We confirm that the company has not been or is not in the process of being dissolved, struck-off, wound-up, terminated or placed under receivership or liquidation.

<b>Customer Signature(s) and/or Chop(s)</b>	
We hereby confirm that the details given above are correct.	
_____	_____
• Signature above printed name and/or Chop (A)	• Signature above printed name and/or Chop (B)
_____	_____
• Signature above printed name and/or Chop (C)	• Signature above printed name and/or Chop (D)
<i>This form should be signed in accordance with the mandate.</i>	

