

The Hongkong and Shanghai Banking Corporation Limited
Customer Information

Customer Name

Customer Number

Customer Acknowledgment

Please take this as my formal acknowledgment to be included in the marketing list for fixed income securities.

I understand and agree that:

- 1) (For Primary Market issuances only) I will be contacted via registered contact details with the Bank, whenever there is a bond on offer period in the primary market, given it is available through HSBC and suits my risk profile, investment tenor, and investment objectives as indicated and agreed in the Financial Planning forms which I have signed.
- 2) (For Primary Market issuances only) The details of the primary issuance (Volume, Issue Date, Coupon) are indicative and are subject to change.
- 3) (For Primary Market issuances only) I will only be asked for an Indication of Interest during the call and that there is no guarantee that I will be allocated the volume that I will request. Further, I understand that my order will only be final once confirmed by a Bank Officer via a separate call over recorded line.
- 4) When my Personal Financial Review results and/or my Risk Profile score is/are due to expire, I will be contacted by my Relationship Manager to refresh the said information through a financial planning discussion.
- 5) If my Personal Financial Review results and/or my Risk Profile is/are no longer valid, I will not be contacted for either Primary Market Issuances or Secondary Market securities until my Risk Profile has been updated.
- 6) I understand that I must still accomplish all necessary forms and requirements in order to fulfill my order.

Customer Name and Signature 	Customer Name and Signature 
Customer Name and Signature 	Customer Name and Signature 

Date signed (dd-mm-yyyy): _____

DISCLAIMER

The details and/or the results of the call are not an offer to sell or a solicitation for an offer to buy any financial products and services and they should not be considered as investment advice. HSBC accepts no responsibility or liability as to the accuracy or completeness of the information given. Personal information collected in this questionnaire will be kept confidential by HSBC. The information may be used by HSBC or any HSBC Group entity under a duty of confidentiality to HSBC, for designing and/or marketing of financial products and services.

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