The Hongkong and Shanghai Banking Corporation Limited

Customer Information

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<th>Customer Name</th>
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<th>Risk Profile Score</th>
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Customer Acknowledgment

☐ I would like to be included in the marketing list for fixed income securities.

☐ I would like to be contacted for Primary Market fixed income issuances

☐ I would like to be contacted for Secondary Market fixed income securities

I understand and agree that:

1) (For Primary Market issuances only) I will be contacted via registered contact details with the Bank, whenever there is a bond on offer period in the primary market, given it is available through HSBC and suits my risk profile, investment tenor, and investment objectives as indicated and agreed in the Strategic Financial Planning forms which I have signed.

2) (For Primary Market issuances only) The details of the primary issuance (Volume, Issue Date, Coupon) are indicative and are subject to change.

3) (For Primary Market issuances only) I will only be asked for an Indication of Interest during the call and that there is no guarantee that I will be allocated the Volume that I will request. Further, I understand that my order will only be final once confirmed by a Bank Officer via a separate call over recorded line.

4) When my Personal Financial Review results and/or my Risk Profile score is/are due to expire, I will be contacted by my Relationship Manager to refresh the said information through a financial planning discussion.

5) If my Personal Financial Review results and/or my Risk Profile is/are no longer valid, I will not be contacted for either Primary Market Issuances or Secondary Market securities until my Risk Profile has been updated.

6) I will not be contacted for either Primary Market Issuances or Secondary Market securities should my total relationship balance fall below PhP 4 million (Premier Status)

7) I understand that I must still accomplish all necessary forms and requirements in order to fulfill my order; this includes a call-out confirmation from the Wealth Assurance Team.

Customer Name and Signature

Customer Name and Signature

Customer Name and Signature

Customer Name and Signature

Date signed dd-mm-yyyy

DISCLAIMER

The details and/or the results of the call are not an offer to sell or a solicitation for an offer to buy any financial products and services and they should not be considered as investment advice. HSBC accepts no responsibility or liability as to the accuracy or completeness of the information given. Personal information collected in this questionnaire will be kept confidential by HSBC. The information may be used by HSBC or any HSBC Group entity under a duty of confidentiality to HSBC, for designing and/or marketing of financial products and services.

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